

Process of transmission of Securities					
SEBI Circular HO/38/13/11(14)2026-MIRSD-POD/I/17111/2026 dated July 23 2026 effective 22 August 2026					
Sr.No	Particulars	Quick Transmission Process (QTP)	Simplified Transmission Process	Quick Transmission Process (QTP)	Simplified Transmission Process
		Securities in physical mode		Securities in dematerialised mode	
1	Threshold	Ten Thousand	Ten Lakhs	Thirty Thousand	Thirty Lakhs
2	Calculation of above threshold	The value of securities shall be quantified by the claimant on the basis of the previous closing price of such securities at any one of the recognized stock exchanges.			
List of Documents Required					
Sr.No	Documents Required	QTP	Simplified	Above Threshold	Remarks
1	Transmission request form	Annexure 2	Annexure 3	Annexure 3	
2	Latest Client Master List (CML) of the claimant's demat account	Yes	Yes	Yes	
3	Verifiable death certificate of the deceased security holder	Yes	Yes	Yes	In case of death of investor outside India: the entity shall accept the certified true copy of the document issued for ‘proof of death’, certified in the country of its issuance through any of the following modes: > a Court Magistrate or Judge or Notary Public in the country of issuance > Consularisation by Indian Embassy/ Consulate General in the country of issuance >apostilled > certification by authorised officials of overseas branches of Scheduled Commercial Banks registered in India certification by authorised officials of branches of overseas banks with whom Indian banks have correspondent banking relationships. In case the original death certificate is issued in a language other than English, the claimant shall also submit a self-certified copy of translation of death certificate into English along with certified copy of the original death certificate.
4	Original security certificate/statement of SOA	Yes	Yes	Yes	
5	Copy of Birth Certificate or School leaving certificate/ Passport or Aadhaar attested by guardian (in case the nominee/claimant/legal heir is a minor)	Yes	Yes	Yes	
6	KYC of the Guardian (in case of nominee /claimant being a minor / of unsound mind)	Yes	Yes	Yes	
7	Document evidencing relationship between the claimant and the deceased security holder (applicable only where claimant is parent, spouse, child or parent-in law)	Yes	--	--	
8	Transmission Request Form-cum Undertaking on plain paper as per specified format	Annexure 2	--	--	
9	Notarised indemnity bond indemnifying the RTA / listed entity/AMC as may be applicable. However, in case the claimant submits any court issued documents viz., Succession Certificate or Probate of Will or Letter of Administration or Court Decree, the requirement of submission of Notarised indemnity bond will not be applicable.	--	Annexure 4	--	Not Applicable if claimant submits any court issued documents viz., Succession Certificate or Probate of Will or Letter of Administration or Court Decree
10	Notarised affidavit cum NOC from all* legal heirs, confirming identification and claim of legal ownership to the securities and no objection. OR copy of family settlement deed executed by all legal heirs, duly attested by a notary public, gazetted officer, or accepted and approved by a magistrate, judge or civil court.	--	Annexure 5	--	
11	Notarised affidavit-cum-NOC from all* legal heirs, confirming identification and claim of legal ownership to the securities and no objection.	--	--	Annexure 5	Not Applicable if claimant submits any court issued documents viz., Succession Certificate or Probate of Will or Letter of Administration or Court Decree
12	Any ONE of the following succession documents: Copy of Will as may be applicable in terms of Indian Succession Act,1925, along with a notarized indemnity bond from the legal heir(s)/claimant(s) to whom the securities have to be transmitted, as per the format specified OR Legal Heirship Certificate or its equivalent issued by relevant authority which will mean a revenue authority not below the rank of a Tehsildar or equivalent authority, along with a notarized indemnity bond from the legal heir (s)/claimant(s) to whom the securities have to be transmitted, as per specified format; OR Copy of Succession certificate or Letter of Administration or Court Decree.	--	--	Annexure 4	